BEFORE THE CALIFORNIA PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

In the Matter of the Application of Golden State Water Company (U 913 E) for an Order Approving Its Low Income Assistance Program Plans and Budgets for Program Years 2009-2011 for its Bear Valley Electric Service Division

Application No.	

APPLICATION OF GOLDEN STATE WATER COMPANY FOR APPROVAL OF ITS 2009-2011 LOW-INCOME ENERGY EFFICIENCY (LIEE) AND CALIFORNIA ALTERNATE RATES FOR ENERGY (CARE) PLANS AND BUDGETS FOR BEAR VALLEY ELECTRIC SERVICE

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BEFORE THE CALIFORNIA PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

In the Matter of the Application of Golden State Water Company (U 913 E) for an Order Approving Its Low-Income Assistance Program Plans and Budgets for Program Years 2009-2011 for its Bear Valley Electric Service Division

Applica	tion No.

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I. Introduction and Overview

Golden State Water Company ("GSWC" or the "Company") hereby submits its Application for Approval of its California Alternate Rates for Energy ("CARE") and Low-Income Energy Efficiency ("LIEE") Plans and Budgets for Program Years 2009-2011 for its Bear Valley Electric Service Division (hereafter "Application").

In this Application, GSWC seeks 1) approval of its proposed CARE and LIEE program plans and budgets for 2009-2011, including amortization of its CARE and LIEE balancing accounts over three years; and 2) approval to continue its existing CARE and LIEE programs and funding into 2009 if a final Decision has not been issued by the Commission prior to January 1, 2009. GSWC submits this Application pursuant to California Public Utilities Code Sections 381, 451, 454, 491, and 701, Rules 2.1, 2.2 and 3.2 of the Commission's Rules of Practice and Procedure, the Commission's Decision D. 07-12-051 in Rulemaking R. 07-01-042, and the May 2, 2008 Assigned Commissioner's Ruling Providing Guidance for Small and Multi-Jurisdictional Utility California Alternate Rates for Energy (CARE) and Low-Income Energy Efficiency (LIEE) 2009-2011 Budget Applications ("Ruling").

Bear Valley Electric Service (BVES) is owned and operated by GSWC. While GSWC is principally engaged in the delivery of water service, it also operates BVES which is the electric utility serving the Big Bear Lake area in the San Bernardino mountains, east of Los Angeles. The BVES service area is primarily a resort community. In 2008 BVES serves approximately 23,000 customers. Of those, approximately 21,500 or 94% are residential. Of the residential customers, approximately two-thirds are part-time residents (vacation or seasonal homes) and approximately one-third (8,150 customers) are full-time residents, including tenants in submetered mobile home parks. BVES also provides service to approximately 1,400 commercial, industrial, or public-authority customers and to two ski resorts in its territory.

The Commission in D. 07-12-051 required BVES to file a new LIEE Plan for 2009-2011. Pursuant to the Ruling, BVES' 2009-2011 CARE and LIEE budget applications are due July 1, 2008. BVES proposes to serve more low-income customers beginning in 2009 by increasing its LIEE budget by 50% over 2008 levels and revising income qualifications from 175% to 200% of Federal Poverty Limits (FPL). Currently, there is no revenue collection for this program in rates.

BVES proposes to significantly increase its CARE administrative budget to allow additional outreach and program processing efforts and to revise income qualifications from 175% to 200% FPL.

In the next 60-90 days, BVES will file an advice letter to propose a public purpose programs (PPP) surcharge to cover all public purpose programs, including LIEE and CARE. The surcharge will cover the annual program costs of these programs beginning in 2009, as well as amortize current balancing account balances over three years. As of December 31, 2007, the balances in the balancing accounts were \$318,800 for CARE and \$285,300 for LIEE. The proposed surcharge for 2009 expected to be filed in the PPP filing for LIEE is \$0.00196/kWh and for CARE is \$0.00158/kWh, which will cover the requested budget and the amortization of the balances just noted over three years. BVES requests review of these accumulated expenses and the amortization of the balancing accounts in this Application to expedite approval of the PPP advice letter filing described above.

Currently, BVES has no designated staff assigned to administer its CARE or LIEE programs. The work has been done with existing customer service representatives,

Table 1
Summary of the BVES LIEE and CARE Programs

	2007	2008	2009	2010	2011
LIEE Program Budget	\$110,000	\$110,000	\$188,125	\$188,125	\$188,125
CARE Program Budget w/rate discounts*	\$181,500	\$187,500	\$216,620	\$225,320	\$284,820
Total	\$291,500	\$297,500	\$404,745	\$413,445	\$472,945

^{*}This discount includes the PPAC component of the rate which is recovered in the PPAC balancing account.

II. CARE Program

A. Background

BVES's CARE program began in March of 1995. Through various Commission decisions the program has evolved to provide a 20% discount on electric bills for customers who meet program income requirements, (i.e., income up to 175% of the FPL). As of December 31 2007, 1,062 BVES customers were enrolled in the CARE program. In addition 110 sub-metered mobile home customers were enrolled in the CARE program, for a participation rate of approximately 55% of those estimated to be eligible. In this Application BVES proposes to revise income requirements from 175% to 200% FPL consistent with Southwest Gas, the natural gas supplier in the BVES service area, and other SMJUs. In addition, BVES will significantly expand outreach efforts to increase participation in CARE.

B. Program Goals and Budgets for Program Years 2009-2011

1. Goals

BVES estimates that approximately 2,700 customers will be eligible for CARE after the income requirements are raised to 200% FPL. As reported in BVES' 2006 CARE Annual Progress Report, as of year-end 2006 BVES had 1,200 customers enrolled in the CARE program, including 141 sub-metered mobile home customers, representing approximately 55% penetration rate at 175% of the FPL. Similarly, for 2007 there were 1,172 total CARE customers and a similar 55% penetration rate. The estimated penetration by the end of 2008 is 1,310 customers.

administrative staff via non-paid overtime, and using consultant services. In this Application, BVES proposes to add one full-time equivalent (FTE) staff member dedicated to several of its public purpose programs. The FTE will be equally spread across the CARE, LIEE, and two energy efficiency programs (proposed in Volume 4 of BVES' General Rate Case application filed on June 27, 2008).

The following summarizes BVES' proposals in this Application:

- 1. Approval of BVES' proposed LIEE program and budgets for 2009-2011.
 - a. Annual budget of \$188,125.
 - b. Annual program goal of 163 customers.
 - c. Continuation of BVES' current LIEE program design, with a revised income requirement from 175% to 200% FPL.
- 2. Approval of BVES's proposed CARE program and budgets for 2009-2011.
 - a. Annual administrative budget of \$52,720.
 - b. Increase in outreach efforts.
 - c. Revised income requirement from 175% to 200% FPL.
- 3. Approval of LIEE and CARE balancing account amortization over three years.

Looking forward, BVES proposes to increase its program efforts to enroll an additional 350 customers on average each year, for a target of about 2,700 customers by the end of 2011. Estimated participation for the CARE program for 2009-2011 is set forth in Table B2 in Appendix B.

2. Budget

BVES proposes to increase its annual administrative budget for CARE from \$3,500 to \$52,720. The current \$3,500 budget includes only costs for a minimal amount of mailing and/or print ad expenses. There is no budget for other program related administrative costs. Prior to this Application, CARE related administrative efforts were absorbed in customer service O&M costs. On June 27, 2008, BVES filed a General Rate Case (GRC) application. In preparation for that application BVES analyzed its office and customer service staffing needs to support its daily operations, including expanded public purpose programs (PPPs). These PPPs include two energy efficiency programs (proposed in the GRC application), the CARE program, and the LIEE program. It was determined that one full-time equivalent (FTE) would be required to support these four PPPs. In this Application BVES requests 25% of a FTE to administer its CARE program and 25% of an FTE to administer its LIEE program, discussed below. Once all of the PPPs are fully operational the use of outside services would be reduced and these types of services will be mainly used for specialized areas (e.g., mailings and marketing).

This expanded administrative capability will enable BVES to provide better outreach and to properly charge CARE processing, verification, and certification costs to the CARE program consistent with the other California IOUs.

As noted above, the approved administrative budget for BVES' CARE program was \$3,500 for 2007 and 2008. Since BVES did not book expenses or time for CARE processing, verification, and certification, there is no per unit cost data available for these activities. Beginning in 2009, all CARE-related costs will be charged to the CARE program and balancing account. In 2006 BVES incurred direct charges to its CARE balancing account of \$3,125 for outside labor for regulatory compliance and program management. In addition there were costs of \$800 for printing bill inserts. Similarly for 2007, there were direct charges of \$1,625 for outside labor and \$989 for printing bill inserts.

The 2009-2011 annual CARE budget is listed below in Table 2:

Table 2

Bear Valley Electric Service 2009 - 20011 CA	ARE Program Ann	ual Bud	aet
Description	Total Units	Average Cost per Unit	Total Cost
Capitation Fees	100	\$ 15.00	\$1,500
Direct mail and doorhangers	8,700	\$ 1.35	\$11,745
Print Media	4	\$ 500.00	\$2,000
Program Materials (forms and customer material)	2000	\$ 1.00	\$2,000
Reporting and regulatory (outside services / hourly)	20	\$ 125.00	\$2,500
Program management (outside services / hourly)	225	\$ 125.00	\$28,125
Travel (assumes 1 SF and 2 LIOB meetings)		+ 120.00	\$1,350
Program database and information systems			\$3,500
			\$52,720

C. Program Administration

The current BVES CARE program is administered in-house with existing customer service representatives. While one representative has taken a lead role, there have been no dedicated employees assigned to CARE. In 2009 BVES proposes to significantly increase its CARE program efforts and assign 25% of a dedicated FTE to CARE.

1. Processing/Certification/Verification

Over the past program period BVES has performed CARE application and processing using existing customer service representatives. Every other year one-half of the CARE customers are re-certified and/or re-verified. As discussed in Section B above, no direct costs have been charged to the CARE program account relating to processing, verification, and certification. CARE customers are tracked in an Excel spreadsheet in addition to the BVES customer master file. This file is also used for LIEE program outreach.

2. General Administration

BVES has experienced a decline in CARE enrollment over the past few years. BVES does not attribute this to any one variable. The main driver seems to be re-certification. When re-certification/re-verification letters are mailed out, many customers do not reply. BVES plans to increase its outreach efforts in 2009 by being significantly more proactive in the community. BVES plans to work more closely with the San Bernardino Community Action Program, the LIEE program contractor, to refer more customers to the CARE program. BVES plans to do more follow-up with customers who do not reply to re-certification/re-verification letters. In addition, BVES plans to work more closely with Southwest Gas to identify customers who are enrolled in CARE in one but not both utility programs. Since the Southwest Gas CARE program eligibility changed from 175% of the FPL to 200% of the FPL, a number of customers are eligible for CARE under the Southwest Gas program but not under the BVES program. BVES plans to create a database or increase our data systems capabilities to better track our CARE customers and coordinate with Southwest Gas.

D. Outreach

Currently, BVES' outreach includes an annual CARE bill insert, taking applications at periodic Low-Income Home Energy Assistance Program events, and one print ad in the local newspaper. In 2009 – 2011 BVES proposes to significantly increase its efforts to align its CARE penetration rates with the other California IOUs. In the above budget, BVES included costs for increased direct mail and print media and 25% of an FTE dedicated to the CARE program. The outreach portion of the staff member's CARE program activities will include closer coordination with Southwest Gas, the San Bernardino Community Action Program, and local community organizations. In 2006 and 2007 outreach costs were limited to the approximately \$1,000 it costs to print bill inserts. BVES did other outreach that did not get charged to the balancing account. As examples, a customer service representative generally attends HEAP events and BVES has run public service announcements in the local newspaper that provide information about the CARE program. Table 2 above provides a detailed CARE budget that includes estimated outreach costs and Table B1 in Appendix B includes a historical summary and proposed 2009-2011 budget.

III. Low Income Energy Efficiency for 2009-2011

A. Background

BVES' LIEE program began in 2002 using funds provided by SBX1 5 and administered by the CPUC. Those funds were withdrawn in early 2004. In May 2006, the CPUC approved BVES' August 2004 request to establish a one-way balancing account for LIEE. In 2006 LIEE program was not fully operational due to concerns over the approval of the advice letter and issues getting an implementation contractor in place. The CPUC approved 2007 and 2008 annual budget for BVES' LIEE program is \$110,000,¹ and the program is estimated to serve 105 customers. However, funding for the program is not in rates or subject to surcharges. BVES has accumulated approximately \$285,000 in its LIEE balancing account. Appendix C provides a summary of annual expenditures and installations.

The program is currently administered by BVES and implemented by the San Bernardino Community Action Partnership (SBCAP) and offers the following measures:

- On-site assessment
- Energy education
- Refrigerator replacement (for units pre-1992)
- Compact fluorescent interior fixtures
- Compact fluorescent exterior lights
- Low-flow shower heads/faucet aerators
- Electric water heater
- Other weatherization measures, when applicable (e.g., insulation, weatherstripping, etc.)

Customers must meet income qualifications to be eligible for this program, currently set at 175% of Federal Poverty Levels (FPL), and 200% FPL for disabled or senior customers. In this Application BVES proposes to align the program's income eligibility levels consistent with

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¹ D.06-12-036.

the major investor owned utilities and Southwest Gas, which is based on 200% FPL for all customers.

BVES works with Southwest Gas Company to coordinate installations of both electric and gas measures for their overlapping customers. Both utilities utilize the services of the SBCAP to implement their respective LIEE programs. In addition, the SBCAP leverages non-ratepayer monies to provide other measures and services to BVES customers. The BVES 2009-2011 LIEE proposed program is consistent with direction provided in Decision 07-12-051 for the Small and Multi-Jurisdictional Utilities (SMJUs). In that decision the Commission adopts a goal to offer LIEE services to all eligible customers by 2020 as identified in the statewide Energy Efficiency Strategic Plan. The Decision sets specific direction for the large California investor owned utilities and notes that the smaller utilities are not required to include all of the specific elements of the Commission's direction but should be consistent as possible. In this Application BVES proposes an expanded program consistent with the Commission's direction.

Because of the limited opportunities for electric savings, BVES does not expect significant changes to its current program and delivery mechanism. Based on the outcome of the Commission's low-income rulemaking, BVES will explore ways of adding additional effective LIEE measures. In such event, BVES will review any proposed new measures with Commission staff. Based on a scaled-up program similar to the current LIEE program, BVES has developed an annual LIEE budget for each of the years 2009-2011 of \$188,125. This budget includes 25% of an FTE dedicated to the LIEE program as discussed earlier.

BVES also proposes to bring its LIEE program in line with the programs of other utilities and revise the income requirements to 200% of FPL for all customers.

B. Program Goals and Budgets for Program Years 2009, 2010, and 2011

1. Goals

BVES estimates that by 2008 there will be approximately 8152 permanent customers and approximately 540 mobile home customers that are sub-metered of which about 420 are permanent customers. Assuming 30% are eligible for BVES' LIEE program² BVES estimates

² BVES assumes a 30% eligibility rate which is based on Census 2000 for the BVES zip codes.

approximately 2,700 customers would be eligible assuming the Commission adopts BVES' request to change the eligibility levels to 200% of the FPL. BVES estimates that it can serve approximately 165 customers per year within a budget of \$188,125 per year. This increase in the program participation goal is consistent with the Commission's Energy Efficiency Strategic Plan as described in D. 07-12-051.

Table 3 below provides a summary of the energy savings for 2007 and forecasted energy savings for 2009-2011.

Table 3

Year	Annual kWh Savings	Lifecycle kWh Savings
2007	32,920	460,267
2008	85,468	1,298,801
2009	132,679	2,016,233
2010	132,679	2,016,233
2011	132,679	2,016,233

2. Budget

Following is a detailed proposed annual LIEE budget for 2009-2011:

Table 4

Bear Valley Electric Service 2009 - 2011	
Annual LIEE Program Budgets	
Program Costs	
Assessment and Income verification	\$7,612
Energy Education	\$2,690
Lighting	\$33,684
Weatherization	\$1,277
Refrigerators	\$91,887
Subtotal Program Costs (contractor)	\$137,150
Administrative O	
Administrative Costs	
Outreach	\$9,000
Program management	\$28,125
Inspections	\$4,500
Reporting/Compliance	\$2,500
Tracking System and Information systems	\$5,000
Travel	\$1,850
Cubtatal Administration Co. 1	
Subtotal Administrative Costs	\$50,975
Total	¢100 405
	\$188,125

The budget above supports an increased program effort consistent with direction in D.07-12-051 as well as recommendations from the Commission's Audit Report on BVES' LIEE 2005 and 2006 program (LIEE Audit). In preparation for this Application, BVES analyzed its office and customer service staffing needs to support its daily operations, including expanded PPPs. These PPPs include two energy efficiency programs (proposed in the GRC application), the CARE program, and the LIEE program. Based on the GRC analysis and recommendations in the CPUC's LIEE Audit³, it was determined that one full-time equivalent (FTE) would be required to support these four PPPs. In this Application BVES requests 25% of a FTE to administer its LIEE program and 25% of an FTE to administer its CARE program, discussed above. Since BVES is not expected to get approval of its GRC until sometime in 2009, BVES anticipates using outside contractors and/or consultants to fulfill some or all of the program's

³ While the LIEE Audit focused on LIEE, BVES considered its staffing recommendation useful for other programs.

administrative tasks. Once all of the PPPs are fully operational the use of outside services would be reduced and these types of services will be mainly used for technical areas (e.g., inspections).

As discussed above, BVES does not currently collect any revenue in rates for LIEE so there are no unspent funds. Table C3 in Appendix C summarizes budgets and spending for 2007 and budgets estimated spending for 2008. Since BVES does not collect any revenue in rates there are no carry-over funds.

C. Program Design

BVES does not propose any major changes to its LIEE program design, other than modifying the income requirements from 175% to 200% FPL, consistent with other IOUs. BVES plans to continue implementation of the program through the community-based organizations (CBOs) currently participating in the program and will add others as needed and as available. Current LIEE measures will continue, and additional measures that may be found to provide savings opportunities will be discussed with Commission staff before being added to the program. Coordination with Southwest Gas and other programs will continue and be expanded where possible.

D. Outreach

In order to solicit potential program participants, BVES mails a program offer to its CARE customers that describes the LIEE program benefits and eligibility requirements. If a customer desires to learn more about the program or to become a program participant a local representative can be contacted during normal business hours or the customer can leave a voice message 24 hours a day. The customer service representative will check their CARE status and check the LIEE program database to see if the customer's site has previously been treated. Once the customer's information has been checked, the customer will be referred to the SBCAP to schedule a site survey and assessment. In addition to direct mail, outreach workers have gone door-to-door to notify customers about the program. This practice was first implemented in 2007 for sub-metered customers and continues into 2008.

As discussed above, both Southwest Gas and BVES utilize the services of the SBCAP to implement their respective LIEE programs. In addition to synergies from working together, the

SBCAP leverages non-ratepayer monies to provide other measures and services to BVES customers. In 2007 and 2008 the SBCAP has found the differences in eligibility levels between the Southwest Gas and BVES programs to be a disadvantage as compared to other service areas where the program eligibility criteria are consistent. Based on feedback from the SBCAP's field operations, making this change is very important and it will greatly increase the success of the program.

In general BVES does not directly track outreach costs. These costs are included in program management and program implementation costs. It is estimated that outreach costs were approximately \$3,000 in 2007 and are expected to be approximately \$5,000 in 2008. In 2009 – 2011 BVES has proposed an annual outreach budget of \$9,000. This increase is needed in order to achieve a 55% higher program participation goal.

IV. Revenue Requirement and Rate Impacts

A. Subsidy and Benefit Costs

BVES does not collect public goods funds or any other funds for its LIEE efforts. A balancing account was authorized by the CPUC in 2006 to track LIEE expenditures for future recovery (Advise Letter 201E). In the next 60-90 days, BVES will file an advice letter requesting the authority to establish a single Public Purpose Programs surcharge. This will merge the current CARE and RD&D surcharges and add a surcharge for LIEE. BVES proposes to recover in its proposed surcharge 1) the accumulated balance in its LIEE balancing account of \$285,300, and 2) funds to cover its proposed annual LIEE program budget of \$188,125. An LIEE balance recovery rate is proposed to be included in the overall PPP rate component that would recover the balance over a three-year period plus a LIEE program rate component to recover the proposed \$188,125 budget. It is expected that the LIEE component of the PPP charge will increase from zero to \$0.00196/kWh.

For CARE, BVES currently has an under collection of \$318,800 in its CARE balancing account. As discussed above, the current CARE rate will be converted to part of the PPP surcharge which will cover both three-year amortization of the under collection and the proposed annual budget of \$52,100 plus an increase in the surcharge to increase the CARE revenues to

match CARE discounts. It is expected that the CARE surcharge would increase from its current level of 0.00038kWh to 0.00158kWh.

As shown in Table B1 in Appendix B, the CARE program is expected to provide rate subsidy benefits of \$163,900, \$170,600, \$232,100 in 2009 - 2011, respectively.

The LIEE provides benefits to both participants and non-participants. Participants receive energy efficiency measures and energy education which will reduce the participant's energy bill. BVES estimates that the average participant will save approximately 800 kWh per year and approximately 12,000 kWh over the life of the measures.⁴ Non-participants benefit from reduced load on BVES' electrical system, especially during peak periods.

B. Balancing Accounts

The balancing accounts will continue under the current regime specified in the Preliminary Statement and that rather than separate rates charged to customers the CARE and LIEE changes will become part of a PPP charge to be established by BVES in a filing in the next 60-90 days. The revenue recovered through the PPP Surcharge would be allocated to the balancing accounts using percentage factors set in the new Preliminary Statements that will be part of the PPP filing.

V. Request to Continue Funding and Allow for Fund Shifting

BVES requests Commission authorization to continue LIEE and CARE funding for 2009 should a decision in this Application not be issued by year-end 2008. This will allow BVES to continue without interruption LIEE and CARE services for its low-income customers. Funds expended and customers served in 2009 will be counted toward authorized budgets and goals for 2009.

BVES also requests authorization for flexibility in managing funds between program categories during 2009-2011 and to shift funds between these categories. This will allow BVES to respond to changing market conditions and actual activities encountered in the programs.

⁴ These savings estimates are based on a simple average of the kWh savings in Table 3 divided by the projected

VI. Conclusion

GSWC's proposed plans and budgets for BVES' LIEE and CARE programs for 2009-2011 are consistent with direction provided in Decision 07-12-051 for the SMJUs and will further the goals promoted by the Commission and will benefit qualified ratepayers. GSWC requests the Commission authorize the CARE and LIEE program plans and budgets as proposed in this Application.

VII. Expedited Treatment of this Application

BVES requests that the Commission, if possible, provide expedited treatment of BVES' Application by no later than the schedule proposed in Section VIII below. Expedited consideration, particularly of BVES' proposal to increase its CARE and LIEE program eligibility levels from 175% of the FPL to 200% of the FPL consistent with the larger IOUs, Southwest Gas and other SMJUs, is important because BVES has had issues implementing the LIEE program in 2007 and 2008 due to the inconsistency of the Southwest Gas and the BVES programs. Both BVES and Southwest Gas use the same implementation contractor but they cannot market the program in the same manner to the same customer. Additionally, some customers have expressed frustration noting that they are on CARE with Southwest but they are not eligible for the BVES program. BVES believes that this issue can be dealt with in an expeditious manner in this proceeding. If the Commission does not agree with BVES on this matter of expedited treatment, BVES will implement, as directed, in the decision to this Application in 2009.

VIII. Formal Matters and Procedural Requirements

This Application is brought pursuant to Sections 381, 451, 454, 491 and 701, of the Public Utilities Code and in accordance with Rules 2.1, 2.2 and 3.2, and the May 2, 2008 Ruling.

The applicant's legal name is Golden State Water Company (GSWC), which makes this Application on behalf of its Bear Valley Electric Service (BVES), which is a regulated division of GSWC. GSWC is a regulated subsidiary of American States Water Company. GSWC's

²⁰⁰⁹ program participation. This is approximately equal to the savings from the installation of 5 CFLs, and replacement of an old, inefficient refrigerator.

mailing address and principal place of business is 630 East Foothill Boulevard, San Dimas, California, 91773. GSWC's main telephone number is (909) 394-3600. Correspondence and communications regarding this Application should be addressed to:

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GSWC is a corporation duly organized and existing under and by virtue of the laws of the State of California and represents the consolidation, effective on December 31, 1929, upon the order of the Commission, of some twenty corporations which were formerly operated under the jurisdiction of the Commission as public utilities, together with subsequent acquisitions and additions. The Commission authorized the implementation of a holding company structure and the formation of American States Water Company as the parent company of Southern California Water Company (GSWC's predecessor). GSWC is a public utility, and its principal business is the production and distribution of water for domestic, industrial, municipal and other purposes. GSWC renders water service in various areas in the counties of Contra Costa, Imperial, Lake, Los Angeles, Orange, Sacramento, San Bernardino, San Luis Obispo, Santa Barbara and Ventura. GSWC also is a public utility rendering electric service through its BVES division in the vicinity of Big Bear Lake in San Bernardino County. BVES also is licensed by the City of Big Bear Lake.

A copy of GSWC's Restated Articles of Incorporation as amended on September 16, 2005 were previously filed as an exhibit to GSWC's Application No. A.06-02-03.

GSWC's latest available Balance Sheet and Income Statement are attached hereto in Appendix A. GSWC's current rates and charges for electric service are contained in its respective tariffs and schedules on file with the Commission.

The increase in revenue requirement⁵ sought by this Application only reflects and passes through to customers costs to BVES for the services or commodities furnished by it in connection with its proposed 2009-2011 Low-Income Program budget years' expenses.

Within 10 days of the filing of this Application, GSWC will cause to be published a notice of the general terms of the proposed increase in a newspaper of general circulation in the area served. Proof of such publication will be filed with the Commission within 10 days of publication. Within 10 days of the filing of this Application, GSWC will mail or email a copy of the Application to the officers of political subdivisions and interested parties listed in Appendix D attached hereto. Within 75 days of the filing of this Application, GSWC will provide each customer of record, the information required by Rule 3.2(d) of the Commission's Rules of Practice and Procedure. Proof of compliance will be filed with the Commission within 10 days after such information has been provided.

Consistent with Rules 2.1(c) and 7.1 of the Commission's Rules of Practice and Procedure, GSWC proposes to categorize this Application as a ratesetting proceeding (as defined in Rule 1.3(e)). The issues in this Application include: a) whether the Commission should issue an order approving the requested plans and budgets for BVES' LIEE and CARE programs for 2009-2011 as described in this Application, including amortization of its CARE and LIEE balancing accounts over three years; and b) whether the Commission should grant interim authorization to continue BVES' CARE and LIEE programs into 2009 should there be a delay in issuing a decision on GSWC's Application.

⁵ As noted previously, this filing does not change any rate. With a Commission decision authorizing the change in revenue requirement requested in this filing, BVES will file for the appropriate change in rates in the PPP filing to be made within the next three months.

BVES has no information at this time from which it can predict whether this Application will be protested, but it does *not* believe hearings will be necessary. If this matter is timely protested, BVES respectfully requests that the matter be set for a Prehearing Conference, at which time evidentiary hearings may be scheduled.

BVES respectfully requests that the Commission issue a decision as soon as practicable, but no later than the schedule set forth below to ensure 2009 budgets are approved prior to January 1, 2009:

Application filed July 1, 2008

Protests/Responses Due August 1, 2008

Prehearing Conf/Scoping Memo If necessary, August 2008 or TBD

Energy Division Workshop If necessary, August 2008 or TBD

Workshop Report If necessary, September 2008 or TBD

ALJ Proposed Decision September 2008 or TBD

Comments on Proposed Decision September/October 2008 or TBD

Replies to Comments on Proposed Decision October 2008 or TBD

Commission Approval of Final Decision October 2008 or TBD

Public Purpose Program Surcharges for

Jan. 1, 2009 effective October 31, 2008

IX. Prayer For Relief

WHEREFORE, Golden State Water Company for its Bear Valley Electric Services Division prays that this Commission issue an Order:

- 1. Approving GSWC's LIEE and CARE program plans and budgets for 2009-2011;
- 2. Granting authorization to continue LIEE and CARE programs and funding therefor for 2009 should a decision in this Application not be issued by year-end 2008;

- 3. Authorizing amortization of its CARE and LIEE balancing accounts over three years;
- 4. Granting continued authorization for flexibility in managing funds between and among CARE and LIEE program categories during 2009-2011 and to shift funds between these categories, when necessary; and
- 5. Granting such further, additional or other relief as the Commission may deem to be necessary or proper.

Dated at San Dimas, California: July 1, 2008

Respectfully submitted,

Keith Switzer

Vice President of Regulatory Affairs

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KSwitzer@gswater.com

VERIFICATION

I am Vice President of Regulatory Affairs for, and an officer of, Golden State Water Company, and am authorized to make this verification on its behalf with respect to the within Application. The statements in the foregoing document are true of my own knowledge, except as to matters which are therein stated on information or belief, and as to those matters I believe them to be true.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on this 1st day of July, 2008, at San Dimas, California.

Keith Switzer

Vice President, Regulatory Affairs

Golden State Water Company

APPENDIX A

Balance Sheet and Income Statement

GOLDEN STATE WATER COMPANY

BALANCE SHEET

	April 30 2008 (n tho	December 31 2007 (in thousands)		April 30 2008	December 31 2007
Assets			Capitalization and Liabilitles	Or (a)	(cocino)
Utility Plant, at cosi Water. Electric.	\$ 932,428	s 922,459 65,078	Capitalization Common shareholder's equity Long-term debt.	\$ 286,635	\$ 278,441
Less - Accumulated depreciation	997,733	987,537 (298,856)	Total capitalization	547,444	539,382
Construction work in progress Net utility plant	55,668 744,416	688,681 43,552 732,233			
Other Property and Investments State Water Project	5,749	3.8 18	Current Liabilities	ć	C
Other physical property, net	869	869	Accounts payable	23 512	309 24 402
Uner Investments Funds held in trust	1,183	1,097	Intercompany payable to AWR.	26.293	23,603
Total other property and investments	7,855	7,838	Intercompany payable to CCVVC.	3 426	161 2.469
Current Assets			Accrued employee expenses	5.536	5,677
Cash and cash equivalents.	2,720	1,389	Acqued Interest. Deferred income taxes - current	6 564	2.424
Accounts receivable - customers (less allowance for			Regulatory liabilities - current	•	- 173
Other accounts received a Customer (1507)	12,472	15,741	Other	10.457	15,013
doubtful accounts of \$481 in 2008 and \$442 in 2007)	7.335	3 105	Total current liabilities	76,089	74,231
Intercompany receivable from ASUS		563			
		} '			
Income taxes receivable		i			
Materials and socials at a second	18,198	15,701			
Regulatory assets - current	1,613	1,562			
Prepayments and other current assets	3 955	3,116			
Deferred income taxes - current	2,731	3.845	Advances for construction	1,000	1
Total current assets	48,777	49 707	Contributions in aid of construction.	78,917	/ L8,8/ / L8,91/
Regulatory and Other Assets			Deferred income taxes	78 163	78,805
Unamortized debt expense and redemption			Unamortized investment tax credits.	2,306	2,336
premium.	5,227	5 226	Accided pension and other positientement benefits. Regulatory habilities	22,561	20,851
Regulatory assets	83,458	82,539	Other,	. 070 8	, 00
Other accounts receivable.	9,882	9,723	Total other credits	070.0	07.960
Total deferred etamos	2,861	2,707		0000	270,000
oral deferred charges	101,428	100,195			
Total Assets	\$ 902,476	\$ 889,973	Total Capitalization and Liabilities	\$ 902,476	\$ 889.973

GOLDEN STATE WATER COMPANY STATEMENT OF INCOME MONTH, YEAR TO DATE AND TWELVE MONTHS ENDED April 30, 2008 AND 2007

	THIS MONTH	ONTH	Increase	Percent	YEART	YEAR TO DATE	escano	Q.	Cadra survivor av 1200T	00000	j	
	April, 2008	Apri), 2007	(Decrease)	Change	April, 2008	April, 2007	(Decrease)	Change	April, 2008	April, 2007	(Decrease)	Change
Operating Revenues												
Water Electric	\$ 18,983,958 2,062,961	\$ (8,102,894	\$ 881,064	4.87%	\$ 68,953,335	\$ 66,227,386	\$ 2,725,949	4.12%	\$ 230,731,316	\$ 216,459,175	\$ 14.272,141	865.9
Other	107,300,3	101.216.	9 57 06	4 60%	10,865,485	10,840,768	24,717	0 23%	28,598,757	29,448.810	(850.053)	-2 89%
Total operating revenues	21,046,919	20,076,061	971,868	4.84%	79,818,820	77,068,154	2,760,666	3.67%	259,330,073	245,907,985	13,422,088	5.46%
Supply Costs								1				
Water Purchased	3,732,045	3,574 434	157,611	4.41%	11.519.272	12 283 021	763 740	6 22%	300 040 07		000	
Supply cost belancing accounts	(201,882)	(440 866)	238,984	54 21%	(308,570)	(1,160,954)	852.384	.73.42%	(1 109 706)	43,146,487	(7,329,492)	2.94%
Power to transfer	600,310	687 897	(87,587)	-12 73%	2175,071	2,703,576	(528,505)	.19 55%	9 443 101	10.412.005	(18'S) (1')	3,000376
	853,296	1,036 773	(183,477)	.17.70%	5,092,662	5,318,041	(225,379)	4 24%	13 973 672	13 984 130	(300,304)	\$ 000 000 000
Total property and	907,799	812,115	95,684	11 78%	3,283,045	3,091,099	191,946	6.21%	10 135 663	9.408.347	727 316	9000
sison fields and	5,891,668	6,670,353	221,216	3.90%	21,761,480	22,234,783	(473,303)	-2.13%	76,261,725	76,129,686	132,039	0.17%
Revenues Less Supply Costs	15,155,351	14,404,708	760,643	5.21%	58,067,340	64,833,371	3,223,969	6.88% 7 ~ %	183,068,348	169,778,299	13.290.049	7.83%
Other Operating Expenses								J				!
Other operation expenses	1,908,485	1,552 549	355 936	22 93%	7 080 345	7 117 001	730 030	7000			;	
Maintenance expenses	1,090,296	1,021,502	58 79¢	6 73%	4 363 003	930 305 0	907,500	R7: 7!	73,540,502	21,657,972	1,882,530	8 69%
Administrative and general expenses	4,182,560	3 139 652	1 042 908	33 22 %	386,505,4	3,735,030	628,936	1684%	15 14 1795	12.228,550	2.913,245	23 82%
Deprecation and amodization	2,410,142	2.206.144	203 909	3 3 2 5	0.00.070.00	Def. 4004.41	1,214,365	8 4048	44,863,064	42,918,380	1,944,684	4 53%
Taxes not on income	756.630	804 313	147 683	8,02,6	886,820.6	8,824,575	805,013	9 12%	27 754,191	25,087,697	2,666,494	10 63%
Total other operating expenses	10,348,113	8.724,160	1,623,963	18.61%	41,090,423	3,502,613	1 345 697	4 58% 8 86%	10,586,291	10,092,964	493.327	% 6-8-4°
							201	2000	240,500,121	111,365,565	5,500,280	8.84%
Operating income	4,807,238	5,680,648	(873,310)	-16.37%	16,966,917	17,088,646	(121,728)	-0.71%	61,182,606	\$7,792,736	3,389,769	5.87%
State income taxes	279,716	363 813	(84,097;	.23 12%	1,000,482	149,981	50 501	325.5	7 93 7 587	3 670 606	346 004	90,00
Total income taxe:	1,285,638	1 335,314	(329,392)	24 67%	3,629,049	3,685,828	(927.95)	22.8%	14 705,444	13,314,032	1,391,412	10 45%
			(Car. 1)	2 45.47	4,673,631	4,436,809	(6,278)	-0.14%	18,623,031	16,884,628	1,738,403	10.30%
Income Before Interest Charges	3,521,600	3,981,421	(459,821)	-11,55%	12,337,386	12,452,836	(115,460)	-0.93%	42,559,474	40,308,108	1,651,366	4,04%
liferest expenses (mxme)	1,588,023	1 555,394	32 629	2 10%	6.372.296	6,142,406	229,890	3 74%	303 803 81	17,286,885	1 222 620	7 07%
Non-regulatory income (loss):												
Office Office gont (1735) of parchased power contracts Office	73 346	838 460	(339 617)	40 50%	3,342,170	3,548,828	(206.658)	-5 82%	1 893 246	(2,977,484)	4,870,730	-163 59%
thurate laxes on non-regulatory items	(246,603)	(288 660)	42 857	14.80%	7, 528, 796)	569,347	(297,138)	-52 19%	1 557 112	1,954,245	(397 133)	-20 32%
Total non-regulatory income(loss)	178,694	453,263	(274.669)	%89.09	2,065,583	2,470,516	(404,933)	-16.39%	2,099,335	(615,027)	2,714,362	430.96%
Net income(loss)	\$ 2,112,271	\$ 2,879,290	\$ (767.019)	-26.64%	\$ 8,030,673	\$ 8,780,946	\$ (760,273)	8.54%	26.149.304	23 006 196	1141108	1166
							1			001,000,00	1,142,100	£ 00.2

APPENDIX B

2009 - 2011 CARE Proposed Program Budget Bear Valley Electric Service

TABLE B1

CARE Budget Categories		2007		2008		2008	Γ	2000		0040		
Transcribed	_		١.				1	2009	ļ	2010	l	2011
	R	ecorded	A	uthorized	E	stimated	P	roposed	F	roposed	P	roposed
Outreach	\$	989	\$	3,500	\$	1,900	\$	19,904	\$	19,904	¢	19,904
Proc./ Certification/ and Verification		***************************************	1		Ť		ě	26,966	+		4	
General	\$	1,625	╁─	·	•	1.600	4		4	26,966	3	26,966
Total Expenses	10		-	0.500	*		1	5,850	•	5,850	4	5,850
CARE Program Discount	1	2,614	1 3	3,500	\$	3,500	\$	52,720	\$	52,720	\$	52,720
	\$	84,953	\$	184,000	\$	151,200	\$	163,900	\$	170,600	\$	232,100
Total Program Costs	\$	87,567	\$	187,500	\$	154,700	\$	216,620	\$	223,320	\$	284,820

PY 2009 - 2011 CARE Estimated Participation Bear Valley Electric Service

		Estimated PY 2011 Goal Rate	(Col. O/D)		100%
		Stimated Estimated Estimated Py Py 2010 Net Year End Py Py 2010 Soal Rate Coal Rate Enrollments Englished Coal Rate Enrollments Participation (a)	(Col. L+N) (Col. O/D)		2,692
		Estimated PY 2011 Net Enrollments	(2)		507
		Estimated PY 2010 Goal Rate	(Col. I+K) (Col. L/D)		81%
		Estimated Year End PY 2010 Participation	(Col. I+K)		2,185
		Estimat PY 2010 Enrollme	(2)		548
		Estimated PY 2009 Goal Rate (a)	(Coi. I/D)		61%
TARIF R2	777	Estimated Year End PY 2009 Participation	(Col. F+H)	100,	1,03/1
ΤA		Estimated PY 2009 Net Enrollments	(Col. B+E) (Col. F/D) (2) (Col. F+H) (Col. I/D)	1-00	357
		Estimated PY 2008 Goal Rate	(Col. F/D)	310 610	
		Year	(Col. B+E)	1 310	
		PY 2008 Estimated Estimated Net PY 2008 Eligible Enrollments	ල	178	(4)
		PY 2008 Estimated Eligible	(2)	2.132	2700 (4)
		Total Total Enrolled PY 2008 Estimated Enrolled Through April Estimated Net PY 2008 12-31-07 2008 Eligible Enrollments	5/21/08 RD Report	1,200	
		Fotal Enrolled 12-31-07	E	1,172	
		3	(aonnoe)		

(a) Estimated PY2009, PY2010 and PY2011 Goal Rate will fluctuate based on updated CARE Eligibility information to be filed August 2008, August 2009 and August 2010.

CARE Annual Reports, dated 5/1/07 - includes sub-metered mobile home customers
 Each utility's estimate based on eligibility rates filed.
 Most recent estimates of net enrollments.
 WES' eligible number of customers will increase in 2009, assuming the Commission adopts the change in eligibility levels. The goal rates are overstated due to lack of accounting for customer gains

APPENDIX C

TABLE C 1

			LIEE	Treat	ed (T)	and W		ized (V	V) Hom	es				
Utility	200 Autho		2007 A	Actual	20	008 orized	20 Estim	08	2009 L Proje	Jtility	2010 l proje		2011 Proje	
NAME	Т	W	Т	W	T	W	T	W	Т	w	Т	w	Т	W
BVES	105		70		105		105		163		163		163	**
		-												
·····														
														·

TABLE C 2

	ä	LIEE Program Budgets for Years 2007 and 2008	gets for Years 20	07 and 2008			
77.77.77		2007 114:114.					
Offility	2007 Adopted		zona Adopted	2008	5005	2010	2011
NAME		Actual		Estimted	Proposed	Proposed	Proposed
Outreach	000 0						
focuocione	000,5	3,000	3,000	5.000	000 6	0000	000
Illapections	2,300	750	2 300	0000	200	3,000	000,8
General	14 000	1000	,	2,000	4,500	4.500	4,500
Subtotal Admin		000,01	14,000	14,000	37,475	37 475	37 175
	19,300	21.838	19 300	24 000	2000		5,4,5
Weatherization	1 200	7.0		000,12	27.5,00	50,875	50,975
Measures	000	70		1,000	1,277	1277	1 277
	000,000	36,050	86.000	83 000	125 574	100	7
chergy Education	3.500	A 3EO	001.0	200	170,021	175,571	125,571
Subtotal Program	007.00	200,	one's	000,5	10,302	10 302	10 302
Total D.	30,700	40,447	90,700	89 000	137 150	427 450	
Join Program	\$110.000	280 035	6440 000		001,101	137,130	137,150
		204,400	000,013	000,011\$	\$188,125	\$188,125	\$188,125
				_	_		

Note 1: Outreach and inspection costs are estimated. General admin includes consulting expenses associated with the 2007 LIEE audit.

TABLE C 3

			~	Unspent Li	EE Progran	n Budgets 1	Unspent LIEE Program Budgets for Years 2007 and 2008	07 and 2008				
Utility	2007	2007	2007		2008 Utility	Expected 2008	2009	Increase (Decrease)	2010	Increase (Decrease)	2011	Increase (Decrease)
			ousbent	Adopted	Expected	⊃	۵	over 2008 Expected	Proposed	over 2009 Proposed	Proposed	over 2010
NAME										nacodo:		nasodora
Outreach	3 000	3 000 8 3 000 8		0000								
	1	000 0	.	3,000	\$ 5,000	3,000 \$ 5,000 \$ (2,000) \$	000'6	\$ 4,000 \$ 9,000		·	0000	U
Inspections	2,300	750	1,550	2.300	2 000	300	A 500	2000		ľ		,
General	14 000	18 088	4000	4 000	ľ		000	2,300	-	Ö	4,500	0
C. htester	200				14,000	0	37,475	23,475	37,475	C	37 475	C
Sublotal Admin	19,300	21,838	-2,538	19,300	21,000	-1 700	50 975	20 075	50 075		27,10	
Weatherization	1,200	37	1.163	1 200	1 000		4 277	27,010	516,00	5	C/6'0C	O
Measures	86 000	36.050	10 050	٥	è		1,77,1	117	1,2,1	0	1,277	0
Epocon Education			ŕ		00,000	3,000	125,571	42,571	125,571	0	125 571	C
Life by Education	3.500	4,360	-860	3,500	2,000	-1 500	10 302	5 302	10 202		000	
Subtotal Program	90 700	40,447	50,253	90,700	000 68	1 700	7	40 450	427 450	5 6	10,302	0
Total Program	\$110,000	582 285	\$47 745	\$47 745 \$140 000 \$140 000	6440 000		1	40 130	137,130	Э	137,150	0
		- [21,1	000,011	000,0116	2	\$188,125	78, 125	\$188,125	c	\$188 125	C

Note: BVES does not currently collect any revenue in rates for its LIEE program - there are no unspent funds

APPENDIX D

SERVICE LIST

CERTIFICATE OF SERVICE

I certify that, pursuant to the Commission's Rules of Practice and Procedures, that I have by mail or e-mail this day served a true copy of the attached Application of Golden State Water Company for an Order Approving Its Low-Income Assistance Program Plans and Budgets for Program Years 2009-2011 for its Bear Valley Electric Service Division on all parties listed in the attached Service List for R.07-01-042.

Dated July 1st, 2008 at San Dimas, California

Ronald Moore

Proceeding: R0701042

Last changed: June 24, 2008, downloaded July 1, 2008

Parties

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